



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/043,654	01/10/2002	Nelson Waldo Bunker V.	500939.000004

CONFIRMATION NO. 7438

27644
THOMPSON & KNIGHT L.L.P.
PATENT PROSECUTION DEPARTMENT
98 SAN JACINTO BLVD., SUITE 1200
AUSTIN, TX 78701

FORMALITIES LETTER



OC000000007512056

Date Mailed: 02/21/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$1494.
 - \$738 for 82 total claims over 20.
 - \$756 for 18 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1929.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/09/2002 HND0R1
00000055 10043654
01 FC:201
02 FC:205
03 FC:202
04 FC:203
370.00 00
65.00 00
756.00 00
738.00 00



#3
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nelson Waldo Bunker V
David Laizerovich
Eva Elizabeth Bunker
Joey Don Van Schuyver

Group Art Unit: 2131

Examiner: To be assigned

Atty. Dkt. No.: 500939.000004

Serial No.: 10/043,654

Filed: January 10, 2002

For: NETWORK SECURITY TESTING

CERTIFICATE OF MAILING
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to:
Commissioner for Patents, Washington, D.C. 20231, on the date below:

March 27, 2002
Date


Aaron A. Weiss

**RESPONSE TO NOTICE
TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

Box MISSING PARTS
Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37

C.F.R. §1.53(d)(1), mailed February 21, 2002, there are enclosed herewith:

- (a) Declaration for Utility or Design Patent Application (37 CFR 1.63);
- (b) Fee Transmittal Sheet;
- (d) Check in the amount of \$1,929.00 to cover the basic filing fee (\$370), fee for 82 total claims over 20 (\$738), and fee for 18 independent claims over 3 (\$756) payable to the Commissioner for Patents;
- (e) A copy of the Notice to File Missing Parts of Nonprovisional Application; and
- (f) Postcard.

Should any additional fees be required under 37 C.F.R. §§ 1.16 to 1.21 for any reason relating to the enclosed materials, the Assistant Commissioner is authorized to deduct said fees from Thompson & Knight, L.L.P.'s Deposit Account No. 20-0821/500939.000004/AAW.

Respectfully submitted,



Aaron A. Weiss
Reg. No. 46,163
Attorney for Applicant

THOMPSON & KNIGHT L.L.P.
98 San Jacinto Boulevard, #1200
Austin, Texas 78701-4081
512.469.6100

Date: March 27, 2002



DIRECT DIAL:

512.469.6177

email: weissa@tklaw.com

THOMPSON & KNIGHT

L.L.P.

ATTORNEYS AND COUNSELORS

1200 SAN JACINTO CENTER
98 SAN JACINTO BOULEVARD
AUSTIN, TEXAS 78701-4081
(512) 469-6100
FAX (512) 469-6180
www.tklaw.com

AUSTIN
DALLAS
FORT WORTH
HOUSTON
MONTERREY, MEXICO

MP

March 27, 2002

CERTIFICATE OF MAILING
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date below:

March 27, 2002

Date

Aaron A. Weiss

Box MISSING PARTS
Commissioner for Patents
Washington, DC 20231

RE: S/N 10/043,654 "NETWORK SECURITY TESTING" – Nelson Waldo Bunker V et al.
(Our File No. 500939.000004)

Sir:

Enclosed for filing in the above-referenced patent application is:

1. Response to Notice to File Missing Parts of Nonprovisional Application (2 pp.);
2. Declaration for Utility or Design Patent Application (37 CFR 1.63) (3 pp.);
3. Fee Transmittal Sheet;
4. Check in the amount of \$1,929.00 to cover the basic filing fee (\$370), fee for 82 total claims over 20 (\$738), and fee for 18 independent claims over 3 (\$756) payable to the Commissioner for Patents;
5. A copy of the Notice to File Missing Parts of Nonprovisional Application (1 p.); and
6. Postcard.

Commissioner for Patents
March 27, 2002
Page 2

Should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Assistant Commissioner is hereby authorized to deduct said fees from Thompson & Knight, L.L.P.'s Deposit Account No. 20/0821-500939.000004/AAW.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,



Aaron A. Weiss
Reg. No. 46,163

Encl:
AAW:ks



PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 710.00)

Complete if Known

Application Number	10/043,654
Filing Date	January 10, 2002
First Named Inventor	Nelson Waldo Bunker V et al
Examiner Name	To be assigned
Group Art Unit	2131
Attorney Docket No.	500930.000004

METHOD OF PAYMENT		FEE CALCULATION (continued)																													
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 20-0821 Deposit Account Name: Thompson & Knight LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES																													
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other																															
FEE CALCULATION																															
1. BASIC FILING FEE																															
<table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101 710</td><td>201 355</td><td>Utility filing fee</td><td>370.00</td></tr><tr><td>106 320</td><td>206 160</td><td>Design filing fee</td><td></td></tr><tr><td>107 490</td><td>207 245</td><td>Plant filing fee</td><td></td></tr><tr><td>108 710</td><td>208 355</td><td>Reissue filing fee</td><td></td></tr><tr><td>114 150</td><td>214 75</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="3">SUBTOTAL (1)</td><td>(\$ 370.00)</td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 710	201 355	Utility filing fee	370.00	106 320	206 160	Design filing fee		107 490	207 245	Plant filing fee		108 710	208 355	Reissue filing fee		114 150	214 75	Provisional filing fee		SUBTOTAL (1)			(\$ 370.00)		
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																												
101 710	201 355	Utility filing fee	370.00																												
106 320	206 160	Design filing fee																													
107 490	207 245	Plant filing fee																													
108 710	208 355	Reissue filing fee																													
114 150	214 75	Provisional filing fee																													
SUBTOTAL (1)			(\$ 370.00)																												
2. EXTRA CLAIM FEES																															
<table border="1"><thead><tr><th>Total Claims</th><th>Extra Claims</th><th>Fee from below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>102</td><td>-20** = 82</td><td>9</td><td>738</td></tr><tr><td>21</td><td>-3** = 18</td><td>42</td><td>756</td></tr><tr><td colspan="4">Multiple Dependent</td></tr></tbody></table>		Total Claims	Extra Claims	Fee from below	Fee Paid	102	-20** = 82	9	738	21	-3** = 18	42	756	Multiple Dependent																	
Total Claims	Extra Claims	Fee from below	Fee Paid																												
102	-20** = 82	9	738																												
21	-3** = 18	42	756																												
Multiple Dependent																															
<table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th></tr></thead><tbody><tr><td>103 18</td><td>203 9</td><td>Claims in excess of 20</td></tr><tr><td>102 80</td><td>202 40</td><td>Independent claims in excess of 3</td></tr><tr><td>104 270</td><td>204 135</td><td>Multiple dependent claim, if not paid</td></tr><tr><td>109 80</td><td>209 40</td><td>** Reissue independent claims over original patent</td></tr><tr><td>110 18</td><td>210 9</td><td>** Reissue claims in excess of 20 and over original patent</td></tr><tr><td colspan="3">SUBTOTAL (2)</td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	103 18	203 9	Claims in excess of 20	102 80	202 40	Independent claims in excess of 3	104 270	204 135	Multiple dependent claim, if not paid	109 80	209 40	** Reissue independent claims over original patent	110 18	210 9	** Reissue claims in excess of 20 and over original patent	SUBTOTAL (2)											
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description																													
103 18	203 9	Claims in excess of 20																													
102 80	202 40	Independent claims in excess of 3																													
104 270	204 135	Multiple dependent claim, if not paid																													
109 80	209 40	** Reissue independent claims over original patent																													
110 18	210 9	** Reissue claims in excess of 20 and over original patent																													
SUBTOTAL (2)																															
*or number previously paid, if greater; For Reissues, see above																															
		Other fee (specify)																													
		Reduced by Basic Filing Fee Paid																													
		SUBTOTAL (3) (\$)																													

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Aaron A. Weiss	Registration No. (Attorney/Agent)	46,163
Signature	<i>A. Weiss</i>	Telephone	512.469.6177
		Date	March 27, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.